		-		2149	· 🔌					
•	t				3004				·	
Substitute f	orm 144	9A/PT	- 6 1	MAY 2 7			Con	nplete if Knov	vn	
Substitute for INFORMA STATEM			E E		Æ		plication Number	-00/474,915	10/811,760	
INFORM	ATION	DISC	LOSUR	•	. aly		ng Date	December 3	1. 1999 March ?	9,2004
STATEM	ENT R	Y API	O ICAN	TRAD!	EMAL	Fin	st Named Inventor	Nnochiri N. E	kwuribe	
JIAILM	LI17 L	,, ,,,,	LIONITI			Gr	oup Art Unit	1623 62	3	
(use as ma	ny chao	te ae ne	(vessex)			Ex	aminer Name	Leigh Maier		
Sheet	1	13 83 116	of	12_		Δtt	orney Docket Number	9233.14 LP		
Sneet	<u> </u>		101	111	S PATENTS	S AND	PATENT PUBLICATIONS			
Examiner	Cite No		IISP	atent Do			Name of Patentee or Applic		Date of Publication	of Cited
Initials*	CHE INC	'·		atem bo			Document	or	Document	
			Number		Kind Code (if known)	·			MM-DD-YYY	Υ .
		- 1			(II KIIOWII)	İ				
		US	· · · · · · · · · · · · · · · · · · ·		 					
		US				-			 	
· ·		US			 			<u> </u>		
		US				\dashv				
						-+			 	
		US			 	-+			 	
		US			 	+				
		US				-+				
		US			 	-+				
		US			 	+		 		
		US			-					
		US								
	ļ	US				-			<u> </u>	
	ļ	US							-	
		US				 -				
	 	US				-+				
		- 100			 	-+			 	
	<u> </u>	US					·			
	 	US								
- <u>·</u>		- US			-	_				
	<u> </u>		<u>, </u>		FOREIC	IN PA	TENT DOCUMENTS			
Examiner	Cite	T	Foreign	Patent D	ocument		Name of Patentee or Appl	icant of Cited	Date of Publication	T
Initials*	No.	055		nber	Kind Code		Document		of Cited Document	1
		Office	Nui	libei	(if known)	•			MM-DD-YYYY	<u></u>
	1	HU	P960061	2	A		SuperGen Inc.		09/30/1996	1
			(Abstrac	t)				<u>.</u>	<u> </u>	ļ
		i							<u> </u>	<u> </u>
										ļ
										↓
									<u> </u>	
									<u> </u>	
									 	
		L	<u> </u>							
			<u> </u>							
								·		
	l	<u> </u>	1					170	J	٠
				OTH	ER NON PA	TEN'	LITERATURE DOCUMEN	115	ook magazina isumal	T +
Examiner	Cite	Include	name of the	author (in atalog e**	CAPITAL LETT	EKS), t	itle of the article (when appropriate) re-issue number(s), publisher, city a	, use of the item (t and/or country whe	rouk, magazine, journai, ire published	T
Initials*	No.									
	2	Hung	anan Nove	ny Sea	cn report d	ated 5	i/27/2003 for Hungarian Pat	ent Application	LIVO PUZUACIO	
										
	 	<u> </u>								
<u> </u>	 	 	<u>.</u>		•			·	_ 	+
í	1	1						<u>-</u>		+
	<u> </u>									
		1								
	 									<u> </u>
										t .
										

Examiner Signature	Leigh C. Maier	Date Considered	1/4/07	
	0			

		U.S. Department o	lemark Office		Attorn	ney Docket Nu 9233.14		Serial No. 09/474,915 /0/81),760
LIST O	F DOC	LIMENTS CITED	ecessary)	ANI				
	(C)	%			Applicants:	Ekwuribe, et	aL	
	- MI	11 27 200 THE		٠		December 3		Group Art Unit:-1623
	TO STATE OF THE PARTY OF THE PA	A TRADEMARY	U. S. P.	ATENT DO	CUMENTS			1623
Examiner Initial		Document Number	Date	. N	iame	Class	Subclass	Filing Date If Appropriate
						 	·.	
		<u> </u>			•.			
·			``	<u> </u>	· · · · · · · · · · · · · · · · · · ·			
•	i	A	FOREIGI	N PATENT	DOCUMENT	S	A.,	
-	·	Document Number	Date	Co	ountry	Class	Subclass	Translation Yes No
·			·		•			
·								
						<u> </u>		
						 	<u> </u>	1
			<u></u>	<u> </u>				
		OTHER DOCU						
	1.	Chabner et al., "A Pharmacological (McGraw-Hill, 1	l Basis of The	Agents: E rapeutics, E	pipodophylloto d. Hardman et	oxins," Goodn al., Ninth Ed	tion, Chapter	n's The 51, 1261-1262
		(wicoraw-mii, i			<u> </u>			<u> </u>
						•		
				-				
		·		•				•
			·					

		1 1	
EXAMINER Leigh C. Maier	DATE CONSIDERED	1/4/87	
EVANATUED Initial (if) reference considered	whather or not citation is in conforma	אים זיייר אים בם לעטי איי	ur line

		U.S. Department of Patent and Transport	demark Offic	e	Atton	umber P	Serial No. 199/474,915 19/81),760		
FIST		Se several sheets if n		CANI					
	6	IPE YES		•	Applicants:	et al.			
	^o MV,	27 2004			Filing Date:		Group Art Unit: 1623-		
	A	and de	U. S. 1	PATENT DO	CUMENTS			623	
Examiner Initial		RADE Ocument Number	Date	N	ame	Class	Subclass	Filing Date If Appropriate	
	1.								
	2.					<u> </u>			
	3.	<u> </u>		<u> </u>	·	<u> </u>	<u> </u>		
			FOREIG	N PATENT I	OCUMENT	S			
		Document Number	Date .	Co	untry	Class	Subclass	Translation Yes No	
LCM	1.	WO 96/23794	8/8/96	PCT		-C07D-	491/22 →		
	2.	WO 97/33552	9/18/97	PCT .	•	A61K-	-5/00 ~		
LCM	3.	WO 98/07713	2/26/98	PCT		€07Ð-	305/14→		
LCM	4	WO 99/30727	6/24/99	PCT		A61K~	-38/00-		
LCM 😼	5.	WO 00/64486	11/2/00	PCT		-A61K-	47/48 -	,	
. \$4	6.	WO 01/19407	3/22/01	РСТ		-A61K-	47/48		
		OTHER DOCUM	MENTS (Incl	luding Author	r, Title, Date,	Pertinent Pag	es, Etc.)		
LCM	1.	Greenwald et al., Derivatives of the							
LcM	2 Investigation Provides to the Control of the Cont								

	OF DOCU	S. Department of C Patent and Tradem June 18 CITED I Overal sheet of nec	ark Office BY APPLICA	NT	Attorney Docket Number 9233.14 IP 9233.14 IP 09/474,91				
	/	· 61	.c.s.m		Applicants: 1	Ekwuribe, e	t al.		
	77.53	MAY 2 7 2004 THE			Filing Date:	1, 1999	Group Art Unit:-1623-		
		RADEMAR	U. S. PA	TENT DOC			_	1623	
Examiner Initial		Document Number	Date	N	ame	Class	Subclass	Filing Date If Appropriate	
LCM	1.	5,122,614	06/16/92	Zalipsky		548	520		
LCM	2.	5,219,564	06/15/93	Zalipsky et	al.	424	78.17		
LCM	3.	5,298,643	03/29/94	Greenwald		558	6		
LCM	4.	5,321,095	06/14/94	Greenwald		525	404		
LCM	5.	5,324,844	06/28/94	Zalipsky		548	520		
LCM	6.	5,349,001	09/20/94	Greenwald	et al.	525	408		
LCM	CM 7. 5,405,877 04/1		04/11/95	Greenwald et al.		514	772.3		
LCM	8.	5,455,027	10/03/95 Zalipsky et		al.	424	78.17		
LCM	9.	5,547,981	08/20/96	Greenwald	et al.	514	449		
LCM	· 10.	5,567,422	10/22/96	Greenwald		424	78.3		
LOM	11.	5,612,460	03/18/97	Zalipsky	· · · ·	530·	391.9		
LCM	12.	5,614,549	03/25/97	Greenwald	et al.	514	449	•	
LCM	13.	5,622,986	04/22/97	Greenwald	l et al.	514	449		
LCM	14.	5,637,749	06/10/97	Greenwald		558	6		
LCM	15.	5,643,575	07/01/97	Martinez e	at al.	424	194.1		
LCM	16.	5,681,567	10/28/97	Martinez e	t al.	424	178.1	·	
LCM	17.	5,730,990	03/24/98	Greenwald	i et al.	424	279.1		
LCM	18:	5,756,593	05/26/98	Martinez e	et al.	525	403		
LCM	19.	5,808,096	09/15/98	Zalipsky		548	520	·	
Lem	20.	5,824,701	10/20/98	Greenwald	l et al.	514	449		
LCM	21.	5,902,588	05/11/99	Greenwald	d et al.	424	278.1		
LCM	22.	5,919,455	07/06/99	Greenwale	d et al.	424	178.1		
LCM	23.	5,965,119	10/12/99	Greenwale	d et al.	424	78.37		
LCM	24.	5,965,566	10/12/99	Greenwal	d et al.	514	279		
LCM	25.	6,011,042	01/04/00	Gréenwal	d et al.	514	283		
LCM	26.	6,111,107	08/29/00	Greenwal	d et al.	546	48		

EXAMINER heigh C. Maier

DATE CONSIDERED 1/4/07

	OF DOC	S. Department of C Patent and Tradem UMENTS CITED E several sheets if nec	ark Office	NT	Attom	ey Docket N 9233.14 I	Attorney Docket Number 9233.14 LP Serial No09/474,915			
		Severar streets if free	O -al	B	Applicants:	Ekwuribe, e	et al.	<u> </u>		
			MAY 27 200	COEFICE	Filing Date:	· · · · · · · · ·	31, 1999	Group Art Unit: 1623		
LCM	27.	6,113,906	200824BBOS	Greenwald	et al.	424	194.1	623		
LCM	28.	6,127,355	10/03/00	Greenwald		514	183			
LCM	29.	6,153,655	11/28/00	Martinez et	al.	514	772.3			
LCM	30.	6,177,087	01/23/01	Greenwald	et al.	424	278.1			
LCM	31.	6,180,095	01/30/01	Greenwald	et al.	424	85.1			
LCM	32.	6,194,580	02/27/01	Greenwald	et al.	546	48			
					•					
			FOREIGN	PATENT D	OCUMENTS	y.	·			
-		Document Number	Date	Co	ountry	Class	Subclass	Translation Yes No		
							<u> </u>			
·							<u> </u>			
						ļ				
	<u>.</u>	· · ·			· · · · · · · · · · · · · · · · · · ·	ļ	ļ			
						<u> </u>		<u> </u>		
		OTHER DOCUM	ENTS (Inclu	ding Author	Title, Date, Pe	ertinent Page	s, Etc.)			
							<u> </u>			
-		<u> </u>								
		·	·							
			•							

FORM PTO-	1449	US Dept. of Co	ommerce	ATTORNEY DOCKET NO).	SERIAL NO.			
		Patent and Tra	demark Office	4012-122 9233.14I	ρ	109/474,915	0/811-	760	
		•		APPLICANT	<u> </u>		-CXIII,	100	
INFORMATI	ON DIS	CLOSURE STA	TEMENT	No. 11 may 11 . 1					
	OIP	Laboration Topono		Nnochiri Ekwuribe et al. FILING DATE		GROUP 11	0.7		
Y ^{as}		al sheets ineces	sary)			''	023		
	MAY 2	7 2004 9		December 31, 1999 March 29 2004 Not Yet Assigned					
EXAMINER		PATENT	<u>U.S. 1</u>	PATENT DOCUMENTS			FILING	DATE	
INITIAL	C. B.	PATENT DEMOMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	IF APPRO		
LCM	AA	5,407,683	18 Apr. 1995	Shively, Merrick L.	424	439			
LCM	AB	5,422,364	6 Jun. 1995	Nicolaou, et al.	514	449			
LCM	AC	5,439,686	8 Aug. 1995	Desai et al.	424	451			
LCM	AD	5,484,809	16 Jan. 1996	Hostetler et al.	514	449			
LCM	AE	5,560,933	1 Oct 1996	Soon-Shiong et al.	424	489			
LCM	AF	5,608,087	4 Mar. 1997	Nicolaou et al.	549	510			
LCM	AG	5,795,909	18 Aug. 1998	Shashoua et al.	514	449			
LCM	AH	5,817,840	6 Oct. 1998	Nicolaou et al.	549	510			
LCM	AI	5,932,462	2 Aug. 1999	Harris et al.	435	188		_	
	-					, .			
	<u> </u>		FOREIG	ON PATENT DOCUMENT		<u> </u>	<u> </u>		
	Τ	DOCUMENT	PUBLICATION	JAPATEM DOCUMENT	<u> </u>	T	TRANSI	ATION	
		NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO	
LCM	AJ	WO 97/33552	18 Sep. 1997	PCT					
LCM	AK	WO 98/58927	30 Dec. 1998	PCT					
		<u> </u>		1 A AL 2014 T	Data Bass	Jumbon Etal	<u>l</u>	 	
	,			ling Author, Title, Journal			nt Dhomas		
LCM	AL	Concerns." J.	Natl Cancer Inst	col: A History of Pharmaceut Monographs (1993) 15: 141	-147				
LCM	AM	Arbuck, S.G.	Taxol (paclitaxe	l): Future directions." Annals	s of Oncology				
LCM	AN	Beijnen, Jos H	I., et al. "Bioanal	ysis, Pharmacokinetics, and I in Oncology (1994) 21 (5) (S	Pharmacodyna	amics of the No	ovel Antica	ıncer	
	AO	Deutsch, H.M.	et al. "Synthesi	s of Congeners and Prodrugs	. 3.1 Water-So	oluble Prodrug	s of Taxol	with	
LCM	1	Potent Antitur	nor Activity." J	Medicinal Chem (1989) 32: 7 Delivery Systems: Water Solo	88-792	Poly(ethylene)	alveni) F	ster	
LCM	AP	Prodrugs - De	sign and in Vivo	Effectiveness." J. Medicinal	Chem (1996)	39:424-431			
LCM	AQ	Greenwald, R	.B. et al. "Highly I Org Chem (199	Water Soluble Taxol Deriva	tives: 7-Polye	thylene Glycol	l Carbamat	es and	
		Carbonates.	Jorg Chem (199	Continue on page 2					
Examiner		igh C. N	1 aiir			DATE CON	VSIDEREI)	
EXAMINE	R· Initia	I if ditation cons	idered, whether o	or not citation is in conformation	nce with MPE	P § 609; Draw	line throu	gh	
citation if no	ot in con	formance and no	ot considered. In	clude copy of this form with	next commun	ication to the a	pplicant.		

FORM PTO-	1449	US Dept. of Co	ommerce	ATTORNEY DOCKET NO.		SERIAL NO		
		Patent and Tra	demark Office	8223		201101016	- اماما	
				4012-122 9233.14IP		-09/474,915 -	19/811	160
			· · ·	APPLICANT				
INFORMATI	ON DIS	SCLOSURE STA	ATEMENT	N 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
OIPE	\geqslant			Nnochiri Ekwuribe et al.		CROUD		
		al sheets if necess	sary)	FILING DATE		GROUP	1623	
MAY 2 7 200	1 m			March 29, 2004		Not Yet Ass		
MAY 2	- 8 -		TI C	PATENT DOCUMENTS		NOT LET Y22	Bilco	
EXAMINER		PATENT	0.3.	FATENT DOCUMENTS	T	<u> </u>	FILING	DATE
COUTIAL	el de la company	NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	IF APPRO	
CADE								
						 		
			·		<u> </u>			
			FOREIG	ON PATENT DOCUMENTS	A			
		DOCUMENT	PUBLICATION				1	ATION
·		NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
					l			
		L	<u></u>	J				<u></u> _
		THER DOCU	MENTS (Includ	ling Author, Title, Journal-D	ate, Page N	umber, Etc.)		
	· · · · · · · · · · · · · · · · · · ·						1) 6 (Commi	6), 62
LCM	AR	S6.	-	exel): Mechanisms of action."				
LCM	AS	Kingston, Davi	id G.I. "Taxol: th ne 1994) 12: 222	ne chemistry and structure-active-227	ity relations	ships of a nove	l anticance	er agent."
LCM	AT	Kingston, Davi	id G.I. "The cher	mistry of Taxol." Pharmac Ther				
LCM	AU	1	*	axel (Taxol)." (1994) Pharmaco				
LCM	AV	69: 341-345	-	xol): A Novel Anticancer Chem		_		(1994)
LCM	AW	Parekh, H. et a	l. "The Transpor	t and Binding of Taxol." Gen I	Pharmac (19	97) 29 (2): 16	7-1 <i>7</i> 2	
LCM	AX	152	•	l) - a guide to administration."				
LCM	AY			Clinical Pharmacology and Use Ther (1991) 52: 35-84	of Antimic	rotubule Agent	ts in Cance	er
	AZ	Rowinsky, Eri	c K. et al. "Taxo	l: The First of the Taxanes, an	Important N	lew Class of A	ntitumor A	gents."
LCM	<u></u>	Seminars in O	ncology (1992 D	ec) 19(6): 646-662				
1044	BA			l: Pharmacology, Metabolism a	nd Clinical	Implications."	Cancer Su	ırveys
LCM		(1993) 17: 283	3-304				" T NI C	
LCM	BB	Monographs (1993) No.15: 69	Novel Taxol Formulations: Tax -78				
1014	BC	Workman, Pau	ıl. "Pharmacokir	etics and Cancer: Successes, F	ailures and	Future Prospec	ts." Cance	er
LCM		Surveys (1993) 17: 1-26					
LCM	BD	Delgado et al.	"The Uses and Ins., 9(3, 4):249-3	Properties of PEG-Linked Prote	ins". Critic	al Review in T	herapeutic	Drug .
<u> </u>		Currier System	15, 7(3, 4).247-3	Continued on page 3				
						DATE CO	NCIDEDE	<u> </u>
EXAMINE	₹ 	1				DATE CO	149MEKE	ט
	L	igh C. N	raun			1/4/	97	
EXAMINE				or not citation is in conformanc	e with MPE	P § 609; Drav	line throu	ıgh
citation if no	ot in con	formance and no	ot considered. In	clude copy of this form with ne	xt communi	cation to the a	pplicant.	

Ī	ORM PTO-	1449	US Dept. of Con		ATTORNEY DOCKET NO	0.	SERIAL NO.			
			Patent and Trade		4 012-122 9233.14I	P	09/474,91 5 k	حراله/9	60	
	NFORMATI	ON DI	SCLOSURE STAT	EMENT	APPLICANT				·	
占	E			<u>-</u>	Nnochiri Ekwuribe et al.		GROUP			
1	c.\nz	e seven	al sheets if necessar	(y)	FILING DATE	4	(023		
_	77 7004 H				December 31, 1997	NOT LET W221	Not Yet Assigned			
W				U.S. P	ATENT DOCUMENTS		r 1	FILING	DATE	
	EXAMINE OF		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	IF APPR	OPRIATE	
Ī	MADE	BE	4,636,505	Jan 13,1987	Howard Tucker	514	256			
	LCM	BF	5,618,528	Apr 8, 1997	Cooper et al.	424	78.3			
	LCM	BG	5,744,592	Apr 28,1998	Hostetler et al.	536	22.1			
	LCM	вн	5,817,321	Oct 6, 1998	Alakhov et al.	424	400			
	LCM	BI	4,567,253	Jan 28, 1986	Durst et al.	536	18.1		•	
T	LCM	BJ	5,605,976	Feb 25, 1997	Martinez et al.	525	408			
	LCM	BK	5,840,900	Nov 24,1998	Greenwald et al.	546	48			
ſ	LCM	BL	5,880,131	Mar 9, 1999	Greenwald et al.	514	279	<u> </u>		
	LCM	ВМ	5,932,462	Aug. 3, 1999	Harris et al.	435	188			
-				FOREIG	N PATENT DOCUMEN	TS	<u> </u>	L	· · · · · ·	
}		<u> </u>	DOCUMENT NUMBER	PUBLICATION		CLASS	SUBCLASS	TRANS	LATION	
ł	LCM	BN	EP 0 423 747 B1	17.10.1990	Europe	C07H 1 7	04	鲁	X	
l	LCM	ВО	WO 98/10651	19 Mar 1998	WIPO	-AOIN-	-37/18	Х	<u></u>	
Ì							<u></u>	<u></u>		
Ī					ing Author, Title, Journa				(1000)	
	LCM	BP	12 122 121		analogs of etoposide and n	.•				
Ì	LCM	BQ	Abstract, Spige	man MK et al. '	Effect of intracarotid etopoler, 1(3):207-11.	oside on openi	ng the blood-b	rain barrio	er."`	
١	LCM	BR	Etoposide Conj	ugates Search in	Chemical Abstracts File	June 19	199.			
		BT	"Indications for	Etoposide"	/FirstDataBank/cc2	00/200/200	ᡘᠵᠬ%ᡔᠬ% ᡷᠬ	%20%7	0%% &i	
		1	oc; segno=3			0/020/020/				
		-	00. 004.10	- E						
					Continue on page 4				•	
	Examiner			A			DATECO		D	
			igh C. 1				1/4/			
	EXAMINE	R: Initi	al in citation consid	iered, whether o	r not citation is in conform	ance with MP	EP § 609; Drav	w line thro	ough	
	citation if n	ot in co	nformance and not	considered. In	clude copy of this form with	n next commu	nication to the	appiicant.		

[FORM PTO-	1449	US Dept. of Con	nmerce	ATTORNEY DOCKET NO).	SERIAL NO	•			
			Patent and Trade	emark Office	4012-122 9233.14]	- ρ	-09/474,913	10/0117	/		
					APPLICANT	<u>- '</u>	14,713	700,1	60		
	INFORMAT	ION DIS	CLOSURE STAT	TEMENT	ATDIONIT						
	PEN			. —	Nnochiri Ekwuribe et al.						
/9) (ú	severa	I sheets if necessa	ry)	FILING DATE	ш .	GROUP	23			
	2 7 2004	ä			March 29, 200	7	Not Yet Assi				
1	MAY 27 ZOON	-ij		11.5	PATENT DOCUMENTS		1400 100 133	THOU I OF THE STATE OF THE STAT			
THE STATE OF THE S		8	PATENT		`		FILING I				
•	EXAMINER		NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	IF APPROI	PRIATE		
			·								
											
	<u> </u>	-									
						 	 				
•							ļ				
		 									
						 					
						 					
				DODEL	CN DATENT DOCUMENT		<u> </u>	<u> </u>			
					GN PATENT DOCUMENT	<u> </u>	T	TRANSL	ATION		
			DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	YES	NO		
	1044	BU	WO 94/04484	3 Mar 94	PCT			Х			
	LCM.	BV	WO 94/12463	June 94	PCT		 	x			
	LCM	БУ	W O 94/12403	Jule 94			ļ				
					<u> </u>	<u> </u>	<u></u>		<u> </u>		
			THER DOCUM	IENTS (Inclu	ding Author, Title, Journal-	Date, Page N	Number, Etc.)				
	1011	BW	Simon Joel "An	titumour Treat	ment; The Clinical Pharmaco	logy of Etopo	side: an updat	e". Cancer			
	LCM		Treatment Revie	rws (1996) 22,	179-221.						
no date		BX	"Epipodophyllo	toxins". Chap	ter 51 Antineoplastic Agents.	1261-1262 .					
my days											
	-	 							.		
•					Continue on page						
							DATE CO	GEIDEDED			
	Examiner	٠,	1 0 14	• • •		•			•		
		hu	gh C. M.	are	•		1/4/	7			
	EXAMINE	R· Initia	Lif citation consid	ered whether	or not citation is in conformar	ce with MPE	P § 609; Drav	line throug	gh		
	citation if n	ot in con	formance and not	considered. In	clude copy of this form with	next commun	ication to the a	ipplicant.			
	1							•			

FORM PTO-1449 U.S. Department of Commerce Potent and Trademark Office Attorney Docket Number Serial No. 9233.14**LP** 09/474,915 19/811,760 LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary) Applicants: Ekwuribe et al. Filing Date: December 31, 1999 Group Art Unit: 1623-1623 U. S. PATENT DOCUMENTS Filing Date Document Examiner Class Subclass Ιf Name Number Date Initial Appropriate FOREIGN PATENT DOCUMENTS Translation Document Yes | No Country Class Subclass Number Date WO93/24476 12/09/93 **PCT** LCM WO94/20453 09/15/94 PCT -X-Abstract 07082291 03/28/95 0.895.784.A1 02/10/99 WO99/48536 09/30/99 -WO01/13957 03/01/01 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER heigh (. Maier DATE CONSIDERED 1/4/07

^{*}EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	Patent and	rademark Office		NANIT.		Attorney De	ocket Number 9233.14IP		Serial No. 10/811,760
-	OF DOCUME	NTS CITED BY	APPLIC	ANI					
JC109	(Use sever	al sheets if necess	ary)			Amilianus	. Elibo et	ol .	
7 TON S	1					Applicants	Ekwuribe et	ai.	
A ? CENTE						Filing Date	: March 29, 2	004	Group 1623
CATHEREN			U. S	PATENT	DOCUME	NTS			
Examiner Initial		Document Number		Date		Name	Class	Subclass	Filing Date if Appropriate
LCM	1.	5,681,811	10/	28/1997	Ekwurib	e			
LCM	2.	6,380,405	04/	30/2002	Ekwurib	e	-		
LCM	3.	6,310,039	10/	30/2001	Kratz				
LCM	4.	6,713,454	03/	30/2004	Ekwuribe				
	LCM 5. 6,309		10/	30/2001	Ekwuribe				
		6,703,381	03/	09/2004	Ekwuribe				
LCM	7.	6,541,508	04/01/2003		Ekwurib	e			
		•							
					<u> </u>				
			FOF	EIGN PAT	ENT DOC	UMENTS		T -	<u> </u>
		Document Number		Date		Country	Class	Subclass	Translation Yes No
	8.	772074		11/10/200	0	AU			
	9.	WO 01/19406		-03/22/20 0		PCT -			
LCM	10.			12/28/200		PCT			
	11.	WO 00/09073		-02/24/20 0	0	PCT			
						r, Title, Date, P			
	1	2. U.S. Provisio 1999.	nal App	olication No	. 60/153,6	4 9, entitled "Ar	nphiphilic Pro	drugs," filed S	september 13,
	1	3. U.S. Provisio				79, entitled "Ta			
LCM	/1	Hydrolyzable	Lipopl	nile Compo	nents," file	ntitled "Amphip d August 5, 200)2		
		5. International	Search	Report Cor	responding	to Internationa	i Application	NO PCITUS (111/24323;1Jat

EXAMINER *EXAMINER

1/4/07

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)			Attorney Docket Number 9233.14IP	Serial No. 10/811,760
0				Applicants: Ekwuribe et al.	
AS	WAT & TRADERS		Filing Date: March 29, 2004	Group 1623	
	LCM	16.	U.S. Patent Application No. 10/774,903, entitled "Amphiphilic Drug-Oligomer Conjugates with Hydrolyzable Lipophile Components and Methods for Making and Using the Same" filed February 9, 2004		
	LCM	17.	U.S. Patent Application No. 10/808,044, entitled "Amphiphilic Prodrugs" filed March 24, 2004		
				itled "Taxane Prodrugs" filed March 24, 2003	
19. U.S. Patent Application No. 09/429,798, entitled "Blood-Brain Barrier Therapeutics" filed 29, 1999 U.S. Patent Application No. 09/430,735, entitled "Methods of Inducing Analgesia" filed 0 1999					
	U.S. Patent Application No. 10/716,975, entitled "Methods of Activating Receptor Using Amphiphilic Drug-Oligomer Conjugates" filed November 19, 2003				Using
				led "Methods of Altering the Binding Affinity of a	

EXAMINER *EXAMINER

heigh C. Maier

DATE CONSIDERED

1/4/07

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.